1 Greater resilience of reef fish assemblages in a no-take reserve 2 compared to multi-use areas of the Gulf of California

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16 ABSTRACT

17 Conservation strategies, such as the establishment of Marine Protected Areas (MPAs), aim to safeguard biodiversity and to promote resilience of ecosystems by increasing 18 19 their capacity to maintain key functions and processes following disturbance. However, 20 the extent to which ecosystems in MPAs exhibit resilience remains debated. To address this question, we evaluated changes in reef fish species and functional diversity over 21 22 time in relation to environmental and anthropogenic disturbances at multiple locations in 23 the Gulf of California, Mexico. From 2005 to 2017, we assessed reef fish species 24 richness and abundance in three MPAs: one no-take marine reserve (Cabo Pulmo) and 25 two multi-use marine protected areas (MUMPAs: Espíritu Santo and Loreto). To 26 examine change in functional diversity and composition, we calculated three functional 27 diversity indices – functional richness, functional dispersion and functional originality – 28 using six functional traits (size, mobility, activity, gregariousness, water column position, 29 and diet). Species richness, density and functional diversity were maintained over time 30 (resilience) in the no-take marine reserve. In contrast, MUMPAs showed temporal 31 decline in species richness, which translated into decreases in functional richness and 32 increases in functional dispersion. These differences were also observed at the species 33 level: in Cabo Pulmo, only two 'loser' species declined in density, while Espíritu Santo 34 and Loreto showed declines of 12 and 17 species, respectively. The two MUMPAs also shared 9 of the total 22 'loser' species, which are generally abundant and common in 35

the Gulf of California. Density declines were attributed to the combined effect of environmental (sea surface temperature and chlorophyll anomalies) and anthropogenic (fishing, tourism and coastal population density) disturbances. Given the regional decline and the ecological importance of dominant species, long-term decreases in their populations can profoundly modify processes and reef ecosystem services in this region. Thus, local management strategies should be implemented to try to reverse the observed recent decline in fish diversity in MUMPAs.

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Keywords: Fish fauna, functional diversity, linear mixed effect models, canonical
correspondence analysis, marine protected areas, Mexico.

46 **1.** Introduction

Biodiversity loss in reef ecosystems associated with environmental (El Niño events and marine heat waves) and anthropogenic (overfishing, pollution or increase in tourism activities) drivers can result in loss of ecological functions and services (Miller et al., 2011; Mouillot et al., 2013). To cope with these disturbances, biological systems respond to new conditions at individual (physiological acclimatization), population (changes in distribution ranges and demography), and community (ecological reorganization) organization levels (Webster et al., 2017).

54 At the community level, overlap in species functions (redundancy) allows 55 communities to preserve ecological processes and therefore present high resilience 56 (Figge, 2004; Hooper et al., 2005), defined as the capacity of a system to maintain 57 structure or function in the presence of disturbance (Walker et al., 2007; O'Leary et al., 58 2017). This 'portfolio effect' is theoretically possible but in practice, it has been difficult 59 to assess resilience in natural communities. To this end, the combination of traditional 60 biodiversity metrics (e.g. species richness) with biological trait analysis (used as a proxy 61 of species functional roles) has become an essential tool to determine the degree of 62 functional redundancy in biotic assemblages, and improve our understanding of the 63 response of ecosystems to disturbance (Hooper et al., 2005; Mouillot et al., 2013).

64 Conservation strategies involving the establishment of Marine Protected Areas 65 (MPAs) are among the primary means to safeguard biodiversity and to promote

66 resilience of ecosystems by increasing their capacity to maintain key functions and processes following disturbance (Agardy, 1994; Micheli et al., 2014). Different protection 67 68 schemes involving MPAs have been established around the world, ranging from fishing 69 bans in no-take marine reserves, to the regulation of extractive activities in multi-use 70 marine protected areas (MUMPAs; Agardy et al., 2003; Sala and Giakoumi, 2017). 71 Previous analyses have shown that well-managed no-take marine reserves lead to 72 increased fish abundances and species richness (Lester et al., 2009), whereas studies 73 in MUMPAs have generally demonstrated positive but non-significant outcomes in these 74 ecological indicators (Lester and Halpern, 2008).

75 The extent to which reef ecosystems in MPAs exhibit resilience to disturbances 76 remains uncertain as a result of the scarcity of analyses of functional diversity change 77 over time, which in turn is due to the difficulty to maintain long-term monitoring and to 78 the lack of continuous data (Edgar and Stuart-Smith, 2014, Fulton et al., 2019). Long-79 term monitoring programs, such as those in place at temperate reefs in Tasmania since 80 1992, have shown changes in species richness and increases in functional diversity in 81 studies conducted over decadal periods (A. E. Bates et al., 2014). These changes 82 include effects of tropicalization (rise in temperature and the associated decrease in the 83 concentration of nutrients) that manifest regardless of the different management 84 schemes (no-take marine reserves or MUMPAs). However, even in the presence of 85 these environmental changes, long-term no-take marine reserves present greater 86 stability (low temporal variability) in biological responses to disturbances in comparison 87 with fished sites in Tasmania (A. E. Bates et al., 2014). Interestingly, the biotic response 88 to perturbations can be similar across different level of protection; for example, areas of 89 the Great Barrier Reef showed a general decline in species richness and fish density 90 associated with the impact of tropical cyclone Yasi (2011), followed by recovery of 91 herbivorous/detritivorous and planktivorous fish. This response was similar everywhere, 92 independent of the protection scheme (Bierwagen et al., 2018).

93 Long-term biodiversity data have also been analyzed in different MPAs of the 94 Gulf of California, Mexico. In 2006, Alvarez-Filip and Reyes-Bonilla showed declines in 95 fish species richness in the Cabo Pulmo MPA between 1987 and 2003, following a 96 severe coral bleaching event caused by the 1997-1998 El Niño and a series of

97 hurricanes that impacted the reef in 2002-2003. These events significantly modified the 98 habitat (coral cover loss > 50%) and caused a decline of associated invertebrates and 99 fishes. However, at the same time fish assemblages showed maintenance in functional 100 diversity, which was attributed to a high degree of functional redundancy. Aburto-101 Oropeza and collaborators (2011) also reported that fish biomass was similar between 102 Cabo Pulmo, core zones of MUMPAs (including Espíritu Santo and Loreto), and open 103 access sites immediately after the ENSO event, in 1999, but after ten years of 104 protection, in 2009, Cabo Pulmo presented a dramatic increase of fish biomass (463%) 105 and species richness (166%). This positive effect was attributed to social (support of the 106 protection measures by residents, continuous monitoring and application of 107 environmental regulations) and ecological (creation of a large $\sim 70 \text{ km}^2 \text{ MPA}$, good 108 condition of the coral community, high primary productivity and presence of fish 109 spawning areas) factors. Recently, a trophodynamic ECOPATH model based on survey 110 (2017-2018) and published data found evidence that Cabo Pulmo is a relatively mature 111 ecosystem that exhibits high resilience to disturbances, such as coral bleaching and 112 hurricanes, compared to other ecosystems from the Tropical Eastern Pacific (Calderon-113 Aguilera et al., 2021). By conducting taxonomic, functional and trophic analyses, these 114 studies have shown that the Cabo Pulmo reefs have been resilient since the 115 establishment of the MPA (1995). However, further analyses are necessary to 116 determine if this capacity is maintained through time.

117 The effectiveness of protection was also evaluated at the Loreto MPA (central 118 Gulf of California) in a continuous time series (1998 to 2010), which found an increase 119 in herbivorous and planktivorous fish biomass in a small no-take zone within the MPA, 120 while the rest of the MPA -where fishing is allowed- did not show significant temporal 121 changes (Rife et al., 2013a). The authors conclude that the management strategies 122 have contributed to maintain the original conditions of fish assemblages, but that it is 123 necessary to promote enforcement to avoid or reduce legal and illegal fishing inside the 124 MPA.

Finally, evaluation of changes in fish assemblages through time (2005 to 2017) in the Espíritu Santo MPA showed that the conservation aims of this MUMPA have not been accomplished because, despite a long-term increase in biomass (associated to a

general increase in fish average size) and maintenance in density and functional originality, species richness, functional richness and some species significantly declined due to competitive interactions, habitat loss, and persistence of fishing pressure (Ramírez-Ortiz et al., 2020). Since buffer and no-take zones presented similar results, the authors recommended enforcement of fishing regulations and surveillance in core zones to promote the conservation of fish functional diversity in this MUMPA.

134 In this paper we evaluated 1) whether fish species richness, density and 135 functional diversity changed through time (2005 to 2017) in one no-take marine reserve 136 (Cabo Pulmo) and two MUMPAs (Espíritu Santo and Loreto) of the Gulf of California, 137 and 2) if changes were associated with environmental or anthropogenic disturbances. 138 This kind of analysis is important considering that the region has been exposed to 139 continuous and intense disturbances, which include high fishing pressure (70% of the 140 fishing activities in México are performed in the Gulf; Cisneros-Mata, 2010; Díaz-Uribe 141 et al., 2013), and accelerated coastal development (Lluch-Cota et al. 2007; Franco-142 Ochoa et al., 2020), as well as recent increases in sea surface temperature (2013 to 2016: $\sim + 2 \,^{\circ}$ C) and declines in primary productivity ($\sim -1.5 \,\text{mg/m}^3$; Gomez-Ocampo et 143 144 al., 2018). Under these pressures, it is relevant to assess the effects of anthropogenic 145 and environmental disturbances on reef fish functional diversity in MPAs to determine if 146 conservation strategies have been able to mitigate the impacts of these chronic 147 pressures.

148 To accomplish our goal, we evaluated temporal changes in fish diversity in each 149 MPA. Our premise is that if the area maintained fish species richness, density and 150 functional diversity throughout the study period, it should be considered resilient. If the 151 MPA exhibited changes, we analyzed how variation in the presence and density of 152 common species (those present in > 50% of the surveys) in each MPA contributed to 153 the observed trends in the indices. Finally, we examined the possible role of 154 environmental and anthropogenic variables in explaining temporal population change. 155 We tested the hypotheses that a decrease in fish species richness translates into 156 negative changes in functional diversity independently of the protection scheme, and 157 that the no-take marine reserve Cabo Pulmo and the MUMPAs (Espíritu Santo and 158 Loreto) exhibit similar decline patterns at the assemblage and species level due to a

159 combination of environmental and anthropogenic disturbances that have been observed160 in the Gulf of California within the last decade.

161 **2. Methods**

162 2.1. Study area

163 The Gulf of California is a dynamic marginal sea (1,600 km long) of the Eastern 164 Pacific Ocean, considered as a transition zone between tropical and subtropical climate 165 regimes, that is exposed to multi-year processes such as the El Niño-Southern 166 Oscillation (ENSO) and the Pacific Decadal Oscillation (PDO; Lluch-Cota et al., 2013). 167 The Gulf of California is an area of high primary productivity with a latitudinal gradient 168 (highest values in the north compared to the south portion), associated with three main 169 natural fertilization mechanisms: tidal mixing, thermohaline circulation and wind-induced 170 upwelling (Alvarez-Borrego, 2010; Mercado-Santana et al., 2017). Thermohaline 171 circulation is derived from the movement of warmer and less dense surface water 172 (Tropical Surface Water and Gulf of California Water) into the Pacific, that balances with 173 deep colder water (Subtropical Subsurface Water) into the Gulf of California (Castro et 174 al., 2006). This dynamic has profound ecological implications because inflowing deep 175 water has high inorganic nutrient concentrations and it is responsible for the transport of 176 other materials (e.g. larvae and pollutants; Álvarez-Borrego and Lara-Lara, 1991). 177 Upwelling events have a strong effect in the Gulf of California eastern coast were Chlorophyll-a concentration (CHL_a) can exceed 10 mg/m³, in comparison with the 178 179 weak effect in the western coast where southeasterly winds and surface sea currents 180 often flow in the opposite direction during summer (July to October) and CHL_a 181 increases only ~ 0.5 mg/m³ (Santamaría-del-Ángel et al., 1999; Lluch-Cota, 2000; 182 Alvarez-Borrego, 2010).

Biogeographically, the Gulf of California represents the Cortez Province and harbors 698 species out of the 1,139 species registered in the Tropical Eastern Pacific (Robertson and Cramer, 2009), and is also considered a specific marine ecoregion (Cortezian) due to its particular benthic and pelagic biota (Spalding et al., 2007). In the Gulf of California, ten MPAs have been established to protect biodiversity and to control

188 extraction of natural resources in historically important fishing areas (Rife et al., 2013b). 189 For this study, three of them (with different level of protection; Fig. 1) were selected 190 because their fish assemblages have been surveyed for over a decade, and they are 191 relatively close to each other (~ 100km apart) therefore have experienced a similar 192 degree of environmental variability within the study period (Fig. S3). We compiled fish 193 monitoring data from visual censuses performed between 2005 and 2017 at Parque 194 Nacional Cabo Pulmo, a MPA established in the year 1995 with a total area of 71.11 195 km², 35% of which is a no-take zone, and where fishing bans extended to 99.6% of the 196 polygon due to the action of the local community and the support of federal agencies 197 (Aburto-Oropeza et al., 2011).



Fig. 1. Study area showing the three MPAs of the Gulf of California where fish surveys were conducted
 between 2005 and 2017: Cabo Pulmo (N= 144 surveys; 16 sites), Espíritu Santo (N= 320 surveys; 11 sites), Loreto (N= 372 surveys; 47 sites).

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In addition, we incorporated into this analysis two MUMPAs that comprise notake and "buffer" zones where no industrial fishing occurs but some artisanal and recreational fishing activities are allowed (Agardy et al., 2003): a) Parque Nacional Bahía de Loreto, one of the oldest (1996) and largest MPA in the Gulf of California with a total area of 2,065.8 km² but only 0.07% no-take zone (CONANP, 2019); and b) Parque Nacional zona marina del Archipiélago de Espíritu Santo with a total area of 486.54 km² (1.4% no-take zone), which was implemented in 2007 (CONANP-SEMARNAT, 2014). The latter was included in the IUCN Green List of Protected Areas in 2018 (IUCN, 2018), and temporal changes of fish functional diversity were previously analyzed by Ramírez-Ortiz and collaborators (2020).

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214 2.2. Data collection

215 The original dataset included 5,743 transects performed within the depth limits of 216 recreational SCUBA diving (2 to 30 m) in two seasons (cold: January to June, and 217 warm: July to November). Transects that were surveyed closer than 200 m within the 218 same day at a similar depth range were not considered independent and were 219 combined into new sampling units (surveys) following the Reef Life Survey methodology 220 (Edgar and Stuart-Smith, 2014). After this treatment, we obtained a total of 836 surveys 221 that were used to calculate species richness and density (as standardized number of 222 individuals/100 m²). Before processing, density values were transformed using 223 logarithm base 2 to achieve normality and homoscedasticity. With the information of 224 richness per survey we constructed species accumulation curves species 225 (randomization method) that allowed us to determine that we had a large enough 226 sample (> 80 surveys) to adequately characterize the species pool in each MPA (Fig. 227 S1).

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229 2.3. Data analysis

To determine if fish diversity was maintained (resilience) or if it changed throughout the study period, we analyzed temporal trends in species richness, density, and functional diversity in each MPA following the methods of Ramírez-Ortiz et al. (2020). We classified fish species observed in the field according to the six biological attributes used in previous global and regional analysis (size, mobility, period of activity, aggregation, position in water column and diet) that reflect key aspects of fish ecology

(Olivier et al., 2018). We allocated an alphanumeric code to each species, which corresponds to a particular combination of traits (functional entity, FE; Table 1). These codes were used to construct a matrix of categorical traits that were transformed into a numerical matrix by calculating the paired distances between species using Gower dissimilarity, which allows the use of different types of variables while giving them equal weight (Gower, 1971).

242 With the dissimilarity matrix we performed a Principal Coordinate Analysis 243 (PCoA) to reduce the trait information by generating orthogonal variables. Additionally, 244 to determine how many dimensions were necessary to build a reliable functional space, 245 we performed the analysis of its quality using the R function 'quality funct space' of 246 Maire and collaborators (2015). Based on the low value of the mean squared deviation 247 (mSD= 0.004) between the initial and the scaled functional distance for all MPAs, we 248 chose the first four axes to calculate three functional indices: a) Functional richness -249 which represents the functional volume occupied by all species of an assemblage; a 250 decrease in this index over time could potentially signal a loss of species with extreme 251 combinations of traits under prevailing environmental conditions, thus leading to more 252 homogeneous assemblages (Mason et al., 2005; Mouillot et al., 2005; Mouillot et al., 253 2013): b) Functional dispersion – the weighted average distance in functional space of 254 individual species to the weighted centroid of the assemblage, where weights 255 correspond to the abundance or density of each taxon (Laliberté and Legendre, 2010); 256 its low values could be attributed to environmental filtering, since only those species 257 with traits suited for the environment can persist (Bower and Winemiller, 2019); c) 258 Functional originality – the weighted average distance between each species and its 259 closest neighbor within functional space; decreasing values over time indicate 260 increasing functional redundancy (Mouillot et al., 2013).

To determine temporal trends at the community level, we used species richness, density, functional richness, functional dispersion and functional originality as response variables in linear mixed models (LMM) that included year as fixed factor, and site (reefs > 200 m apart) and season (cold and warm) as random variables, to account for spatial and temporal autocorrelation associated with repeated monitoring (Zuur et al., 2009). We present the temporal trends of the five ecological indicators in coefficient graphs

that show the standardized values of the estimates, with standard errors and statisticalsignificance.

269 If the MPA exhibited significant changes in these indices, we assessed how 270 variation at the species level contributed to observed trends. For this, we selected 271 common species as those that were present in over 50% of the total surveys at each 272 MPA (Cabo Pulmo= 21 out of 97 total species, Espíritu Santo= 26 out of 99 total 273 species, Loreto = 27 out of 103 total species), in order to control the influence of zero-274 density values in short time series (Alvarez-Filip et al., 2015). Then, using data on 275 presence and density (rounded to an integer), we performed individual generalized 276 mixed effect models (GLMM) with binomial and negative binomial distributions, 277 respectively (Zuur et al., 2009). Species with significant increases over time were 278 categorized as 'winners', those without significant changes were called 'neutrals', and 279 fish species with significant decreases were considered 'losers'. These categories were 280 incorporated into PCoA graphs to visualize the distribution of species with significant 281 changes within the functional space, which allowed us to make inferences about the 282 results of temporal community analyses. LMM and GLMM were performed with the 283 "Ime4" R package (D. Bates et al., 2014).

284 To assess the effect of disturbances in species changes over time at each MPA, 285 we analyzed the relationship between environmental/anthropogenic variables and the 286 presence/density of 'winner' and 'loser' species through canonical correspondence 287 analyses (CCAs; Oksanen, 2011). We used the annual presence (number of censuses 288 where a species was registered related to the total surveys per year) and the average 289 annual density (arithmetic mean of a species density at all censuses performed within a 290 vear) of 'winner' and 'loser' species at each MPA (Table 1) as response variables in the 291 CCAs, while the explanatory variables included three anthropogenic (fishing pressure, 292 visitors and human population around the MPA) and seven environmental factors: coral 293 cover, hurricanes, photosynthetically active radiation (PAR), chlorophyll-a concentration 294 (CHL_a), particulate organic and inorganic carbon concentration (POC and PIC), and 295 sea surface temperature (SST). More detailed information about these variables is 296 provided in Table S2.

297 For PAR, CHL_a, POC, PIC, and SST, we calculated annual averages of 298 standardized anomalies (environmental conditions above or below the historic average 299 value) using 204 monthly satellite images (January 2003 to December 2019) 300 downloaded from the OceanColor server of NASA with a spatial resolution of ~ 4km and 301 obtained by the Agua-MODIS satellite. Subsequently, we matched the annual values of 302 each variable with the study period in which the fish censuses were performed (2005 to 303 2017) and we considered a lag to the previous year because the effects of these 304 variables on commercially fished species usually manifest between one and ten months 305 later (Marín-Enríquez, 2013) and not immediately. For the estimation of hurricanes we 306 calculated the hurricane hazard index for each year (Table S2; Hernández et al., 2018), 307 while for coral cover we calculated the annual percentage of scleractinian coral cover 308 for all sampled sites in each MPA (more detailed information is provided in Table S2).

309 Regarding anthropogenic factors, we used the annual reports of catch registered 310 at the closest office to the MPA provided by Comisión Nacional de Pesca 311 (CONAPESCA) and compiled by dataMares (Loreto and Los Cabos; Mascareñas-312 Osorio et al., 2018) and Universidad Autónoma de Baja California Sur (UABCS; La Paz) 313 as an indicator of fishing pressure. For visitors estimation, we used the number of 314 bracelets sold each year to visitors in the MPA registered by the Comisión Nacional de 315 Areas Naturales Protegidas (CONANP), while for human population we compiled the 316 number of inhabitants within the municipality adjacent to the MPA obtained from 317 Instituto Nacional de Estadística y Geografía (INEGI) for the years 2005, 2010 and 318 2015. For visitors and human population, we calculated the values of the missing years 319 in the time series through linear regression constructed from the available data (more 320 detailed information about these variables is provided in Table S2).

To determine if the inclusion of a variable in each CCA was statistically justified, we used the model building process known as "forward stepwise" from the "step" function of the "stats" R package (R core team, 2013). With this method, we started with an unrestricted model (with no variables) and added variables step by step until we had a complex model with the ten disturbances considered in this study. The selection of the best model was based on the Akaike Information Criterion (AIC; Zuur et al., 2009). Once we selected the best models for 'winners' and 'losers' in presence and density at

328 each MPA, permutation analyses were performed with the "vegan" package (Oksanen 329 et al., 2007) to test the models' significance, the importance of each axis (proportion of 330 variation in the biological data attributable to environmental/anthropogenic factors), and 331 the marginal effects of each included variable. Finally, we plotted CCAs using the 332 "ggplot2" R package (Wickham et al., 2016) with a type 2 scale, which emphasizes the 333 relationship between environmental variables and species (Oksanen, 2011). These 334 graphs allowed us to observe the Chi-square distance between species centroids 335 (whose optimal distribution is given by their presence or average density) and 336 environmental/anthropogenic data vectors with direction towards the maximum change 337 of the associated variable and length given by the correlation with the ordination axes 338 (Hollarsmith et al., 2020; Lara and González, 1998).

339 **3.** Results

340 Temporal analyses revealed non-significant changes in the no-take marine 341 reserve Cabo Pulmo for the five ecological indicators (Fig. 2a), while MUMPAs Espíritu 342 Santo (Fig. 3a; Ramírez-Ortiz et al. 2020) and Loreto (Fig. 4a) showed significant 343 decreases in species richness that translated into less functional richness and higher 344 functional dispersion at the end of the study period. Functional originality showed non-345 significant changes in all MPAs, which suggests that despite the low functional 346 redundancy, the core ecological trait values have been maintained through time (Table 347 S1).

348 3.1. No-take marine reserve Cabo Pulmo

The result of non-significant changes in fish diversity at Cabo Pulmo indicates resilience due to the maintenance of species richness and functional diversity in the face of regional disturbances (Fig. S2). This pattern was confirmed at the species level, where the presence of 21 common species was maintained during the study period (Fig. 2b), while for density only six species showed significant changes (two 'winner' and four 'loser' species located close to the functional centroids; Fig. 2c). Density declines in 'loser' species were correlated with measures of anthropogenic disturbances

(human population around the MPA and visitors; Fig. 5a), but none of the analyzed
variables explained positive changes for 'winner' species (Table S4).



Fig. 2. a) Temporal change of ecological indicators expressed as standardized coefficients (mean ± 95% confidence interval) of LMMs for Cabo Pulmo. Gray circles indicate non-significant change. b and c)
Position in the functional space (PC1-PC2 and PC3-PC4) of 'winners' (blue), 'neutrals' (gray) and 'losers'
(red) for presence (b) and density (c) models in the no-take marine reserve. Dots' size is proportional to z-values of the GLMMs, and average-weight centroids (one per transect) are indicated by gray dots; in c, ellipses indicate 95% confidence intervals. Codes of the principal species are included (Table 1).

³⁶⁵ Note: Cat= C. atrilobata, Hal= Halichoeres sp., Hpa= H. passer, Sve= S. verres, Paz= P. azaleus

Table 1. List of common fish species (presence in > 50% of the surveys) registered in the Gulf of California MPAs and analyzed with GLMM for changes in presence (P) and density (D). For each species their code (first letter of the genus and first two letters of the species or first three letters of the genus), functional entity (FE; Olivier et al., 2018), density (average \pm SD) and redundancy (species within the same FE) is indicated. Bold letters indicate commercially fished species (Niparajá, 2011). Gray cells indicate species with GLMM validated results: 'winners' (W; significant increase), 'neutrals' (N; non-significant change) or 'losers' (L; significant decrease over time).

Family	Species	Code	FE	Cabo Pulmo		Ρ	D	Espíritu Santo		Ρ	D	Loreto		0	Ρ	D	Redundancy		
Acanthuridae	Prionurus laticlavius	Pla	53D41HD	8.26	±	13.13	Ν	Ν	6.31	±	15.21	Ν	W	3.15	±	7.15	W	Ν	
Blenniidae	Plagiotremus azaleus	Paz	21D11FC	3.97	±	6.67	Ν	W	0.36	±	1.13			0.15	±	0.62			
	Ophioblennius steindachneri	Ost	31D11OM	1.31	±	2.09	Ν	Ν	1.88	<u>+</u>	5.30			0.43	<u>+</u>	1.27			
Chaetodontidae	Johnrandallia nigrirostris	Jni	32D32OM	1.06	±	2.81	Ν	Ν	2.25	±	3.60	N	L	0.76	±	1.67	Ν	Ν	
Cirrhitidae	Cirrhitichthys oxycephalus	Cox	21D31FC	8.99	<u>+</u>	11.86	Ν	Ν	7.32	±	14.84	N	L	2.41	±	4.14	L	L	
Haemulidae	Haemulon sexfasciatum	Hse	53N31FC	2.41	±	16.05			1.82	±	6.38			2.65	±	5.07	Ν	Ν	
Kyphosidae	Kyphosus sp.	Кур	53D12HD	1.16	<u>+</u>	3.47			0.54	<u>+</u>	1.91			0.80	±	2.11	Ν	W	
Labridae	Bodianus diplotaenia	Bdi	53D11IM	3.41	±	4.92	Ν	Ν	4.01	<u>+</u>	3.23	N	Ν	5.20	±	5.63	Ν	L	C. brachysomus, B. polylepis
	Halichoeres chierchiae	Hal	32D11IM	0.85	±	1.91	Ν	L	1.65	±	10.81	W	Ν	0.29	±	0.98			H. dispilus,H. notospilus, S. inornatus
	Halichoeres dispilus	Hal	32D11IM	6.12	±	21.90	Ν	Ν	2.72	±	4.64	W	Ν	3.82	±	7.16	Ν	L	H. chierchiae, H. notospilus, S. inornatus
	Thalassoma grammaticum	Tgr	42D11IM	1.37	±	3.05	Ν	W	1.74	±	7.93			0.35	±	3.27			H. nicholsi, H. semicinctus, I. pavo
	Thalassoma lucasanum	Tlu	22D31IM	58.88	±	68.50	Ν	Ν	43.22	±	57.59	Ν	L	22.38	±	27.57	Ν	L	
Lutjanidae	Lutjanus argentiventris	Lar	53N41FC	2.58	±	13.62			3.89	±	6.91	Ν	W	1.28	±	5.54			
Mullidae	Mulloidichthys dentatus	Mde	43N31IM	3.63	<u>+</u>	14.09			12.86	±	33.96	Ν	Ν	3.52	±	11.75	L	Ν	

Family	Species	Code	FE	Cabo Pulmo		Ρ	D	Espíritu Santo		Ρ	D	Loreto			Ρ	D	Redundancy		
Pomacanthidae	Abudefduf troschelii	Atr	32D42Pk	1.55	<u>+</u>	5.84			32.03	<u>+</u>	42.47	Ν	L	35.23	<u>+</u>	41.14	Ν	L	
	Holacanthus passer	Нра	42D32IS	1.39	±	1.91	Ν	L	2.91	±	2.78	Ν	Ν	3.09	±	4.12	Ν	L	
Pomacentridae	Chromis atrilobata	Cat	22D42Pk	33.22	±	74.18	Ν	W	86.66	<u>+</u>	207.28	Ν	L	38.71	<u>+</u>	93.07	Ν	L	
	Chromis limbaughi	Cli	21D31Pk	0.24	±	1.86			9.98	±	34.90	L	L	9.14	±	22.60			
	Microspathodon dorsalis	Mdo	41D11HD	0.31	<u>+</u>	1.02			1.00	±	1.77	L	L	1.28	±	2.89	Ν	L	
	Stegastes flavilatus	Sfl	21D11HD	3.21	±	4.37	Ν	Ν	0.55	±	1.49			0.51	±	2.76			S. leucorus, S. rectifraenum
	Stegastes rectifraenum	Sre	21D11HD	8.69	<u>+</u>	11.63	Ν	Ν	14.71	±	12.78	Ν	L	38.90	±	37.21	Ν	L	S. leucorus, S. flavilatus
Scaridae	Scarus compressus	Sca	53D11HD	0.14	±	0.33			1.21	±	2.68	Ν	W	0.38	±	0.78	Ν	L	C. carolinus, S.perrico, S. rubroviolaceus
Scaridae	Scarus ghobban	Sgh	63D11HD	0.55	±	1.06			3.99	±	6.13	L	L	1.59	±	3.06	Ν	L	
	Scarus perrico	Sca	53D11HD	0.32	<u>+</u>	0.86			0.58	±	1.16			0.54	±	1.05	L	L	C. carolinus, S.compressus, S.rubroviolaceus
	Scarus rubroviolaceus	Sca	53D11HD	0.82	±	3.65	N	Ν	0.74	±	1.43	L	L	0.41	±	0.67	Ν	L	C. carolinus, S.compressus, S. perrico
Serranidae	Alphestes immaculatus	Aim	32N11IM	0.02	±	0.08			0.32	±	0.63			0.27	±	0.47	Ν	Ν	Pareques sp.
	Cephalopholis panamensis	Сра	42D11FC	0.32	±	0.62			0.70	±	0.88	Ν	W	0.76	±	1.21	Ν	Ν	
	Epinephelus labriformis	Ela	52N11FC	0.55	±	1.12	Ν	Ν	0.68	±	1.21	Ν	W	0.37	±	0.58	Ν	Ν	
	Mycteroperca rosacea	Mro	63N11FC	1.41	±	3.43	Ν	Ν	1.14	±	2.06	L	Ν	1.38	±	2.33	Ν	L	H. guentherii
	Cephalopholis colonus	Ссо	43D42Pk	9.92	±	23.55			23.43	<u>+</u>	52.89	Ν	Ν	10.08	<u>+</u>	18.59	Ν	W	
	Serranus psittacinus	Sps	32D11FC	0.61	<u>+</u>	1.06	N	Ν	0.51	<u>+</u>	1.19			1.83	<u>+</u>	3.11	Ν	L	

Family	Species	Code	FE	Cab	Cabo Pulmo		P D		Espíritu Santo			Ρ	D	Loreto			Ρ	D	Redundancy
Balistidae	Balistes polylepis	Вро	53D11IM	0.58	<u>+</u>	1.69			1.28	<u>+</u>	4.58	Ν	W	1.02	<u>+</u>	3.16	W	W	C. brachysomus, B. diplotaenia
	Sufflamen verres	Sve	43D31IM	1.35	±	2.67	Ν	W	0.69	±	1.45	L	L	0.23	<u>+</u>	0.92			
Diodontidae	Diodon holocanthus	Dho	42N11IM	0.66	±	2.12			0.76	±	1.02	L	Ν	0.98	<u>+</u>	1.50	Ν	L	D. hystrix
Ostraciidae	Arothron meleagris	Ame	42D11IS	0.71	<u>+</u>	1.38	Ν	N	0.15	<u>+</u>	0.36			0.01	±	0.04			S. annulatus
	Canthigaster punctatissima	Сри	21D11IS	3.07	±	3.26	Ν	N	5.83	±	4.15	Ν	L	6.41	±	5.31	Ν	L	

Note: Functional entities (FE) alphanumeric code can be interpreted by the categories Size (2: 7.1-15 cm, 3: 15.1-30 cm, 4: 30.1-50 cm, 5: 50.1-80 cm, 6: > 80 cm); Mobility (1: low/territorial, 2: medium/within a reef, 3: high/between reefs, 4: very high/widely mobile); Activity period (D: diurnal, N: nocturnal); Gregariousness (1: solitary, 2: living in pairs, 3: small-medium groups 3-50 individuals, 4: large > 50 individuals groups); Position in the water column (1: benthic, 2: bentho-pelagic, 3: pelagic); Diet (H: herbivore/detritivore, IS: invertivores sessile, IM: invertivores vagile, Pk: planktivores, FC: piscivores, OM: omnivores).

339 3.2. Multi-use areas Espíritu Santo and Loreto

340 Functional richness decreased significantly in both MUMPAs, and presence 341 declines primarily occurred in species with extreme traits located on the outer margins 342 of the functional space, such as the planktivore C. limbaughi, the herbivore/detritivore 343 S. ghobban, and the invertivore D. holocanthus for Espíritu Santo (Fig. 3b). Moreover, 344 functional dispersion increased, and this change was associated with density declines 345 at species near the 95% confidence interval ellipses of the centroids, such as the reef 346 invertivore T. lucasanum, the omnivore J. nigrirostris, and the planktivore A. troschelii 347 for Espíritu Santo (Fig. 3c), and the planktivore H. passer and the piscivore C. 348 oxycephalus for Loreto (Fig. 4c; Table 1). By analyzing species identity, we found that 349 Espíritu Santo and Loreto showed overlap in 9 out of the 22 'loser' species (Table 1), 350 which indicates that the decline in these species could be a regional level feature.

351 Espíritu Santo showed fewer 'winners' (presence: 2 species, density: 6 species) 352 than 'losers' (presence: 7 species, density: 12 species), and most species with 353 significant declines were located towards the edges of the functional space (Fig. 3b; Fig. 354 3c), suggesting the loss of specialist species. Decreases in presence of commercially 355 fished piscivores (*M. rosacea*) and herbivores/detritivores (*S. ghobban* and *S.* 356 rubroviolaceus) in Espíritu Santo were correlated with negative anomalies of SST (Fig. 357 5b), while the increase of *H. chierchiae* was correlated with negative anomalies of 358 CHL_a (Fig. 5c; Table S3). In contrast, a functionally redundant of the latter species, H. 359 dispilus, also categorized as 'winner' (Table 1), exhibited the opposite pattern (increases in presence associated to positive anomalies in CHL_a; Fig. 5c). 360

Negative anomalies of CHL_a were associated to density declines of the planktivore *A. troschelii*, invertivores (*C. punctatissima* and *D. holocanthus*), and herbivores/detritivores (*S. rectifraenum*, *S. ghobban*, and *S. rubroviolaceus*; Fig. 3d). Additionally, anthropogenic disturbances such as increased visitors and human population around the MUMPA (Fig. 5d) coincided with density declines of common species at Espíritu Santo (Table S4).

Furthermore, the density decline of the small piscivore *C. oxycephalus* was associated with coral cover declines, and increased visitors and human population around Espíritu Santo MUMPA (Fig. 5d), while for density 'winners' none of the factors considered here was related to positive changes (Table S4).

371



Fig. 3. a) Temporal change of ecological indicators expressed as standardized coefficients (mean ± 95% 372 confidence interval) of LMMs for MUMPA Espíritu Santo. Blue and red circles show significant positive 373 and negative changes, respectively, while gray circles indicate non-significant change. b and c) Position 374 in the functional space (PC1-PC2 and PC3-PC4) of 'winners' (green), 'neutrals' (gray) and 'losers' (red) 375 for presence (b) and density (c) models in the MUMPA. Dots' size is proportional to z-values of the 376 GLMMs, and the average-weight centroids (one per transect) are indicated by gray dots; in c), ellipses 377 indicate 95% confidence intervals (Ramírez-Ortiz et al., 2020). Codes of the principal species are 378 included (Table 1). 379

Note: Atr = A. troschelii, Cat= C. atrilobata, Cli= C. limbaughi, Cpa= C. panamensis, Dho= D. holocanthus, Ela= E. labriformis, Hal= Halichoeres sp., Jni= J.
 nigrirostris, Lar= L. argentiventris, Sre= S. rectifraenum, Sca= Scarus sp., Sgh= S. ghobban, Tlu= T. lucasanum.

Loreto MUMPA also showed a few 'winner' species (presence: 2 species, density: 3 species), along with substantial declines in presence (3 species; Fig. 4b) and density (17 species; Fig. 4c) of species located throughout the functional space. This indicates that density decrease in fish occurs in a large number of species and at multiple levels in the functional structure over time. Although none of the analyzed disturbances had a significant correlation with presence (Table S3), CCA (Table S4)

- 388 showed a combined correlation of environmental (anomalies of SST) and anthropogenic
- 389 (fisheries and human population around the MUMPA) factors with the density decline of



Fig. 4. a) Temporal change of the five calculated indices expressed as standardized coefficients (mean ± 95% confidence interval) of LMMs for Loreto. Blue and red circles show significant positive and negative changes, respectively, while gray circles indicate non-significant change. b and c) Position in the functional space (PC1-PC2 and PC3-PC4) of 'winners' (blue), 'neutrals' (gray) and 'losers' (red) for presence (b) and density (c) models in the MUMPA. Dots' size is proportional to z-values of the GLMMs, and the average-weight centroids (one per transect) are indicated by gray dots; in c, ellipses indicate 95% confidence intervals. Codes of the principal species are included (Table 1).

- Note: Atr= A. troschelii, Bpo= B. polylepis, Cat= C. atrilobata, Cox= C. oxycephalus, Hpa= H. passer, Mde= M. dentatus, Pla= P. laticlavius, Sre= S. rectifraenum, Sca= Scarus sp., Tiu= T. lucasanum.
- 401 For 'winner' species at Loreto, the commercially fished invertivore *B. polylepis* 402 showed increases in presence associated with negative anomalies of SST and PAR. In 403 contrast, decreases in the number of visitors and human population around the MUMPA 404 were related to increases of the herbivore/detritivore *P. laticlavius* (Fig. 5f).



Fig. 5. CCA graphs for 'losers' (red) and 'winners' (blue) at each MPA where vectors of the disturbances
with significant effects are shown: a) 'losers' in density for Cabo Pulmo; b) 'losers' and c) 'winners' in
presence, and d) 'losers' in density for Espíritu Santo; e) 'losers' in density, and f) 'winners' in presence
for Loreto.

410 Our findings of maintenance of fish diversity (resilience) at the no-take marine 411 reserve, and declines in species richness that translated into negative changes in 412 functional diversity at the MUMPAs, lead us to reject our hypothesis of similar patterns 413 at the three analyzed MPAs. In contrast with our hypothesized region-wide community 414 changes, patterns of change differed among the three MPAs in this study. These 415 differences were also observed at species level, where Cabo Pulmo had fewer 'loser' 416 species than Espíritu Santo and Loreto, whose species declines were related to 417 environmental and anthropogenic disturbances.

418 4. Discussion

The analysis of fish diversity change over time performed in this study showed that the fully-protected MPA in Cabo Pulmo did not present significant changes in any of the calculated indices, while the partially-protected Loreto and Espíritu Santo showed significant decreases in species and functional richness over the same time period (Fig. 3a; Fig. 4a).

424 4.1. No-take marine reserve Cabo Pulmo

425 The result of diversity maintenance in Cabo Pulmo indicate resilience of its reef 426 ecosystem over time, since species and functional diversity did not present significant 427 changes despite the occurrence of a number of environmental disturbances in the 428 region (positive anomalies in SST and negative anomalies in CHL a), and the increase 429 in human use (Fig. S2). We propose that full protection from intense fishing activities 430 since 1995 in this MPA (Anderson, 2019) has buffered fluctuations in fish diversity, 431 similar to what has been observed in temperate reefs of Tasmania (A. E. Bates et al., 432 2014).

433 Considering the multiple disturbances that Cabo Pulmo has faced within the past 434 decade, the observed ichthyofauna functional maintenance is consistent with the 435 previously reported maintenance of energy flows, coral cover and proportional 436 abundance/species/functional characteristics of invertebrates (Calderon-Aguilera et al., 437 2021). Taken together, these results highlight the effectiveness of conservation efforts 438 that local residents and authorities have performed towards the goal of preserving 439 ecological processes in the northernmost coral reef in the Eastern Pacific (Alvarez-Filip 440 and Reyes-Bonilla, 2006; CONANP, 2006). Since our results for species richness in 441 Cabo Pulmo (non-significant changes; Fig. 2a) are different from the decline reported 442 between 1987 and 2003, as well as from the increase of 166% reported between 1999 443 and 2009 (Aburto-Oropeza et al., 2011), our study emphasizes the importance of 444 analyzing data sets with longer time scales that allow us to detect patterns in species 445 richness over time, and cautions against conclusions based on particular years that 446 might be atypical.

Alvarez-Filip and Reyes-Bonilla (2006) reported maintenance of fish functional diversity at Cabo Pulmo between 1987 and 2003 due to a high degree of functional redundancy. However, our results allowed us to detect that this pattern was associated with species' presence maintenance (none of the 21 common species presented significant changes; Fig. 2b) or small density changes at the species level, since functional redundancy at the studied MPAs is low and has been maintained over time (Table S1). Regarding density, the increase in visitors and human population around

454 Cabo Pulmo appeared related to the decrease of *H. passer* (Fig. 5a), an ornamental 455 species protected by the Mexican federal government (Gijón-Díaz et al., 2017). Given 456 that this species also presented density declines in Loreto (Fig. 5e), and that the Gulf of 457 California is the only area in México where there are official capture permits for 458 ornamental species (Gijón-Díaz et al., 2017), these results could indicate a regional 459 population decline caused by fishing pressure at sites outside the MPAs. In the face of 460 these regional declines, management strategies for MPAs, such as the regulation of the 461 number of visitors, enhanced surveillance, and encouragement of good practices 462 amongst tourism operators, are needed to contribute to the conservation of 463 vulnerable/commercially fished species and to the resilience of local reef ecosystems in 464 the near future.

465 4.2. Multi-use areas Espíritu Santo and Loreto

466 In contrast to Cabo Pulmo, Loreto and Espíritu Santo MUMPAs exhibited a 467 pattern of decline in species richness that translated into decreases in functional 468 richness. These decreases were associated to presence changes amongst species with 469 extreme traits located towards the limits of functional space (Fig. 3b; Fig. 4b), since loss 470 of species with non-extreme traits does not affect values of this index (Mouillot et al., 471 2013; Villéger et al., 2008). Analyses of temporal changes in species presence showed 472 that most 'loser' species were rare (present in < 50% of the total surveys) and that some 473 of them had unique functional roles (e.g. deep-water planktivore C. limbaughi for 474 Espíritu Santo and coral-associated small carnivore *C. oxycephalus* for Loreto; Table 1). 475 These conditions, in addition to the low functional redundancy with non-significant 476 changes (Table S1), potentially limit functional compensation and the maintenance of 477 ecosystem processes through time (Hooper et al., 2005; Mason et al., 2005).

Temporal changes in fish diversity at MUMPAs were also detected for functional dispersion, where the significant increase of this variable reflected density declines of species with trait values near the centroids of the functional space (Fig. 3c; Fig. 4c). Nine out of the 22 density 'loser' species found in the study (Table 1) were shared by both MUMPAs (Espíritu Santo and Loreto), which may indicate a regional decrease of these species. Moreover, some of them are among the most abundant and frequent 484 reef fish species in the Gulf of California (e.g. *A. troschelii, C. atrilobata, S. rectifraenum* 485 and *T. lucasanum*; Fernández-Rivera Melo et al., 2018; Sánchez-Caballero et al., 486 2017). Given the large-scale decline and the ecological importance of these dominant 487 species, long-term decreases in their populations could have large impacts on 488 community structure (e.g. cascading effects), ecological processes, and the flow of reef 489 ecosystem services in the central Gulf of California region (Avolio et al., 2019; Villéger 490 et al., 2010).

491 Loss or reduced abundance of dominant species is often an outcome of 492 environmental and anthropogenic global changes (Avolio et al., 2019). Our results of 493 species density declines at MUMPAs associated to a combined effect of environmental 494 (anomalies of SST and CHL a) and anthropogenic (fisheries, visitors and human 495 population around the MUMPA) factors (Fig. 5d; Fig. 5e) are consistent with these 496 global trends. From 2013 to 2016 the California Current showed CHL a negative 497 anomalies (~ -1.5 mg/m³) and SST increases up to 2 °C (~ + 1.2 °C in the present 498 study; Fig. S2) in some areas (Gomez-Ocampo et al., 2018). These anomalies were 499 associated to a shift from cold to warm phase in the northeast Pacific Ocean, which 500 caused greater stratification in the water column and decline of primary productivity and 501 phytoplankton biomass (Gomez-Ocampo et al., 2018). Since low primary productivity is 502 generally associated with decreases in the abundance of ichthyofauna (Legendre and 503 Michaud, 1999: Bainbridge et al., 2018), this event could explain the negative trends 504 observed for common species in both MUMPAs (Fig. 5d; Fig. 5e), as well as the general 505 significant decline in fish density at Loreto (Fig. 4a).

506 Loreto also showed density decreases in large herbivore/detritivore functional 507 entities (Family Scaridae) which are locally consumed, as well as in regional 508 commercially fished species (e.g. *B. diplotaenia* and *M. rosacea*; Table 1; NIPARAJÁ, 509 2011), associated with an increase of human population and fishing activity (Fig. 5e). 510 Since increasing fishing pressure was observed around this MUMPA (Fig. S2), in 511 addition to reports of illegal fishing inside it (Rife et al., 2013a, 2013b), reinforcing 512 management strategies such as surveillance and the application of fishing regulations 513 could prevent direct (local decline or loss of commercially fished species) and indirect 514 (abundance increase of low-trophic level species, such as herbivorous fish and

515 invertebrates) effects of overfishing and their consequent changes in ecosystem 516 processes (e.g. high bioerosion rates in coral colonies; Alvarado et al. 2016). The 517 expansion of no-take zones from 0.07% to 3% in 2019 represents an additional strategy 518 to address the management problems identified in Loreto MUMPA, including ongoing 519 overfishing (CONANP, 2019; Rife et al., 2013a).

520 The result that Espíritu Santo presented non-significant density declines (Fig. 3a) 521 and less 'loser' species than Loreto, despite a higher fishing pressure around it (Fig. 522 S2), might be attributed to effective management and governance, which has resulted in 523 the recent addition of this MUMPA to the IUCN Green List of Protected Areas (2018) 524 based on the evaluation of the five previous years (Ramírez-Ortiz et al., 2020). 525 Nonetheless, Espíritu Santo showed significant effects of coral cover loss and increase 526 of visitors and human population on the density decline of 12 species (Fig. 5d). Thus, 527 effort and resources should be focused on further improving local management (e.g. 528 through implementation of coral restoration programs, regulation of the number of 529 visitors, and surveillance of no-take zones) with the goal of reversing the observed 530 decline for some species over the last decade.

531 Some commercially fished 'winner' species showed density increases (C. 532 panamensis, E. labriformis and L. argentiventris; Fig. 3c), supporting benefits of the 533 partial protection in Espíritu Santo, consistent with trends reported after a similar time 534 period elsewhere (13.1 + 2 years from the establishment of an MPA; Babcock et al., 535 2010). But since none of the analyzed variables explained positive density changes, this 536 potential effect of protection needs further investigation (Table S4). In this MUMPA, we 537 found evidence of ecological reorganization, for example the density declines of the 538 herbivores/detritivores S. rubroviolaceus and S. ghobban, which we attributed to 539 interspecific competition considering they share most of the biological traits with the 540 'winner' species S. compressus (S. ghobban only differs in the maximum size trait; 541 Table 1; Ramírez-Ortiz et al., 2020). In addition, we observed asynchronous responses 542 to disturbance: presence increase of two functionally redundant species, H. chierchiae 543 and H. dispilus (Table 1), associated with contrasting anomalies in CHL a; H. 544 chierchiae's increase was associated with negative anomalies in this variable, while the 545 H. dispilus' was associated to positive anomalies (Fig. 5c). Variable responses to

environmental change of species that share similar biological traits could contribute to
resilience of ecological processes. Altogether, these results highlight the importance of
biological trait analyses to detect functional redundancy and to evaluate possible
consequences of ecological reorganization on reef resilience.

550 **5. Conclusions**

551 Long-term surveys and diversity analyses show that the fully-protected reefs of 552 Cabo Pulmo appear resilient due to maintenance of fish diversity and limited species-553 level changes over the years. By contrast, MUMPAs showed biodiversity loss at the 554 community and species level within the study period, associated to environmental 555 anomalies of SST and CHL a (previously reported for the California Current), as well as 556 anthropogenic disturbances related to increases in visitors, human population and 557 fishing pressure around Espíritu Santo and Loreto. Despite the documented 558 degradation of reef ecosystems within MUMPAs, density increases in some 559 commercially fished species suggests some beneficial effects of partial protection. 560 Considering changing conditions since 2005, full protection appears to be more 561 effective than partial protection in maintaining functional diversity of reef fish 562 communities and promoting ecological resilience in Gulf of California reef ecosystems.

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